

# A Unified Approach to Implementation-Based Testing of Classes

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## Abstract

*We present a unification algorithm that automates the process of identifying the testing techniques that are suitable for testing a given class. The algorithm accepts a summary of the class under test and a set of testing techniques available to the developer engaged in performing the test. The summary of the class is based on our taxonomy that maps the features and characteristics of a class in an object-oriented system into our taxonomy of feature properties and nomenclature. Using the feature properties and nomenclature, together with a list of available testing techniques, the unification algorithm describes a subset of the set of techniques that are appropriate for the class under test.*

**Keywords** Testing, Object-Oriented programming, class-based testing, white-box testing, black-box testing, software engineering.

## 1. Introduction

As software developers shift their priorities to the construction of complex, large scale systems that are easy to extend and modify, traditional approaches and methodologies fall short. Object orientation makes it possible to model systems that are close to their real world analogues. The goal of object-oriented design is to accurately identify the principle roles in a process, assign responsibilities to these roles and encapsulate them in an object. The object-oriented approach facilitates the extension and modification of these objects. There is an ever-expanding pool of applications and tools that exploit the object-oriented paradigm in their construction.

In the face of the burgeoning popularity of the object-oriented approach, there is also a demand for robust, correct functioning of the developed application. The plethora of new approaches to testing the class structure is evidence of this increased demand[3, 5, 6, 10, 17]. A remarkable fact about testing strategies is that no one strategy has emerged

as the accepted approach[4]. Thus, the developer is faced with an ever-expanding choice of testing approaches and strategies from which to choose. The difficulty of the choice is further compounded by the fact that some testing strategies are appropriate for some kinds of classes but inappropriate for other kinds of classes.

In this paper, we present a *unification algorithm* that automates the process of identifying the testing techniques that are suitable for testing a given class<sup>1</sup>. The algorithm accepts a summary of the class under test, CUT, and a set of testing techniques available to the developer engaged in performing the test. The summary of the class is based on our *taxonomy* that maps the features and characteristics of a class in an object-oriented system into our taxonomy of *feature properties* and *nomenclature*[4]. Using the feature properties and nomenclature, together with a list of available testing techniques, the unification algorithm describes a subset of the set of techniques that are appropriate for the CUT.

In the next section, we overview terminology and concepts about object technology, review the important strategies and techniques for testing classes, and overview our taxonomy for cataloging classes. In Section 3, we overview five implementation-based testing techniques, provide a brief analysis of each technique then identify the most suitable class to be tested by that technique. In Section 4 we present our unification algorithm that identifies the strategy that is appropriate for the CUT and, in Section 5, we present an example that summarizes and demonstrates our approach. In Section 6 we draw conclusions and discuss our ongoing work.

## 2. Background

In the next section, we overview terminology and concepts about object technology. We then review the impor-

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<sup>1</sup>It is entirely possible that none of the available strategies is appropriate for the class under test. Our ongoing work focuses on investigating this issue

tant concepts about testing classes and overview a taxonomy that describes the features and concepts of classes as they appear in the object-oriented approach to software development. We conclude this section with a summarizing example.

## 2.1. OO Classes

The major entity in Object Oriented (OO) software development is the class. Meyer defines a *class* as a static entity that represents an abstract data type with a partial or total implementation[12]. Since the class is the corner stone of OO software it must incorporate the characteristics of the OO paradigm. These characteristics include method of computation, information hiding, typing mechanism, inheritance, polymorphism, dynamic binding, and deferred features and classes. We use Meyer's terminology to describe the characteristics of the OO paradigm[12].

A class is considered to be a type since it supplies a static description of certain dynamic entities known as *objects*. The creation of an object during program execution is known as *object instantiation*. The static description supplied by the class includes a specification of the *features* that each object will contain. These features fall into two categories, (1) *attributes*, which are allocated memory when an object is instantiated, and (2) *routines*, which define a certain method of computation applicable to all instances of a class. Attributes are referred to as *data items* in C++, *instance variables* in other OO languages; routines are referred to as *member functions* in C++, *methods* in other OO languages. An object that invokes a feature of an instance of a class *C* is said to be a *client* of *C*.

*Information hiding* provides a class with the ability to deny its clients access to certain features. This is part of a more general mechanism that allows selective exporting of features to clients. In the OO languages C++ and Java, this mechanism is implemented using the access specifiers *private*, *protected*, and *public*.

*Inheritance* is one of the major concepts of OO software construction and can be used to provide extensibility and reusability. Inheritance is usually represented by a hierarchical structure. The class inheriting the reusable features is known as the *descendent* and the class supplying the features as the *parent*. The descendent class has the ability to add new features if so desired. The term *single inheritance* is used when a descendent has only one parent and *multiple inheritance* if a descendant has more than one parent.

OO constructs that derive from the use of inheritance are polymorphism, dynamic binding, and deferred features and classes. Meyer[12] describes *polymorphism* as the ability of a feature in a class in the inheritance hierarchy to have many forms; that is, the form of the feature invoked is dependent on the type of the object instantiated. It should be

noted that polymorphism, if used, is statically defined in the classes contained in the inheritance structure. The binding of these polymorphic features at runtime is known as *dynamic binding*. *Deferred features and classes* provide a mechanism that allows the delayed implementation of features in the inheritance hierarchy. These deferred features must be implemented in one of the descendents (direct or indirect) of the class containing the deferred feature. If a class contains a deferred feature no objects of that class can be instantiated; such a class is referred to as an *abstract class*.

In this paper we further refine the features that are contained in descendant classes. Harrold et al.[5] identified six types of features that a descendant class in the C++ language may have. These include a *new feature*, *recursive feature*, *redefined feature*, *virtual-new feature*, *virtual-recursive feature* and *virtual-redefined feature*. The term *new* describes a feature not found in the parent class, *recursive* features are inherited unchanged in the descendent class, and *redefined* features are in the descendant class having the same declaration as the parent but a different implementation. The term *virtual* is pre-pended to each of the above to introduce the notion of polymorphism.

## 2.2. Class-based Testing

There are several definitions for class-based testing presented in the current literature by researchers and practitioners in the field of testing[2, 11]. Our definition is based on the IEEE/ANSI definition for software testing[7]. We define *class-based testing* as the process of operating a class under specified conditions, observing or recording the results, and making an evaluation of some aspect of the class. The aspects of the class to be evaluated determine how successful the tests are. We refer to these aspects as the *adequacy criteria*. There is a spectrum of such criteria for class-based testing, with criteria based on the implementation of the class at one end of the spectrum and criteria based on the specification at the other, with hybrid versions at various locations in the middle.

In this paper we refer to test adequacy criteria that focus on the implementation of the class as *implementation-based* and criteria that focus on the specification of the class as *specification-based*. To adequately test a class some form of the specification and implementation are used during testing. The specification is required to ensure the correctness of the test case executed, which is known as the *oracle problem*, and the implementation is used to execute the test case.

Implementation-based testing[2], also known as *white-box testing*, *program-based testing*, or *structural testing*[11], traditionally focuses on two main types of coverage criteria: *control-flow* and *data-flow*. These criteria are based on control-flow analysis and data-flow

analysis respectively, performed by a compiler during code optimization[1]. Although control-flow and data-flow coverage criteria were developed for pre-OO software they still play an important role in class-based testing. Typically control-flow and data-flow analyze the properties of the class under test (CUT), which is represented by some form of a class control-flow graph (CCFG)[6], and tries to develop test cases that will maximize the coverage of those properties.

Specification-based testing, also known as *functional testing*[11], *black-box testing* or *responsibility-based testing*[2], provides the tester with the ability to generate test cases based solely on the specification of the class. As mentioned earlier, the specification of any class should also provide enough information to determine the correctness of a test case. The specification of a class can be represented in several ways, ranging from a natural language description to a detailed functional specification.

To adequately test a piece of software, testing researchers and practitioners have suggested that both specification-based and implementation-based testing are needed[2, 11]. We refer to this type of testing as *hybrid-based testing*. Hybrid-based testing attempts to provide fuller coverage than might be achieved by performing either specification-based or implementation-based testing.

## 2.3. Taxonomy

In this section we overview a taxonomy of classes we developed to assist the tester in unifying the current implementation-based testing techniques. A detailed explanation of the taxonomy can be found in[4]. The taxonomy classifies an OO class according to the OO characteristics it exhibits, as well as the types used by its features (attributes and routines). Each entry of the taxonomy has a *nomenclature* and a set of *features properties*.

**2.3.1 Nomenclature.** The nomenclature is composed of two components: an *Object Oriented modifier* (or simply *OO modifier*) and the *class associated types*. The OO modifier component is used to identify the OO characteristics the class exhibit.

The important OO characteristics are:

- *Inheritance-free* - indicates that the class is not part of an inheritance hierarchy, which also implies that the class is non-polymorphic.
- *Parent* - identifies a class that is the root class of an inheritance hierarchy.
- *Derived* - identifies a class that is a descendant of a single parent.

- *Derived parent* - identifies a class that is a descendant as well as a parent.
- *Abstract* - identifies a class that contains deferred features, which also implies that the class is polymorphic.
- *Non-polymorphic* - identifies a class that does not exhibit polymorphism.

The above is not a complete list of the OO characteristics that can be exhibited by a class, elided are characteristics such as genericity and multiple inheritance. Note that the OO modifier component of the nomenclature is a combination of OO characteristics.

The *class associated type* component of the nomenclature reflects the types used in the class. These types can include basic types, as well as user defined types; we give a detailed explanation of the types in [4].

The types used in the class taxonomy are:

- *Type 0* - no associated types used.
- *Type I* - scalar basic types.
- *Type II* - non-scalar basic types, these include access types and arrays for basic types.
- *Type III* - user-defined types i.e. classes.
- *Type IV* - access types for user-defined types, these include arrays for user defined types.
- *Type V* - class libraries, e.g., STL[8].

**2.3.2 Feature Properties.** The *feature properties* identify the type and scope information for each attribute, the types of the *routine locals* (parameters and local variable), and a classification of the inheritance features if the class is involved in an inheritance relationship. The access specifiers *private*, *protected* and *public* are used to specify the scope of an attribute. We refer to the classification of the features for a class in an inheritance hierarchy, as *feature classification*. The feature classification is based on the refinement of features overviewed in the last paragraph of section 2.1. The features in a derived class are classified as *new*, *recursive*, *redefined*, *virtual-new*, *virtual-recursive* or *virtual- redefined*[5].

**2.3.3 Example.** Figure 1 illustrates the C++ code for a class *Point* and figure 2 catalogs the class *Point* using our taxonomy. Class *Point* is one of the classes from a class cluster for a geometric system. The catalog shown in figure 2 is constructed using the taxonomy overviewed in sections 2.3.1 and 2.3.2. The nomenclature for *Point* is *Inheritance-free Types I, III* for the following reasons. *Point* is not part

```

1 class Point{
2   // Represents a point in the
3   // Cartesian plane
4 private:
5   int x, y;
6 public:
7   Point(): x(0), y(0){}
8   Point(int inX, int inY):
9     x(inX), y(inY){}
10  void print() const {
11    cout << "(" << x << ", "
12         << y << ")" << endl;
13  }
14  double distance(Point p1) const {
15    return sqrt(pow(x - p1.x, 2)
16               + pow(y - p1.y, 2));
17  }
18 };

```

**Figure 1. C++ code for class Point.**

of an inheritance hierarchy and all of its attributes, parameters and local variables are either basic scalar types or user defined types. The feature properties identify the attributes defined on line 5 of figure 1 as private to the class and of basic scalar types. The constructor for Point on line 8 has parameters that are basic scalar types (int) and the routine *distance* on line 14, has a parameter that is a user-defined type (Point). Therefore the routine locals are classified as *Type I* and *Type III*. Since Point is not a derived class there are no feature classifications.

### 3. Taxonomy and Implementation-based Testing

There are several implementation-based testing techniques that target OO software, however there is no one technique that seems to adequately test all the features of a class. In this section we analyze some of the current implementation-based techniques to identify techniques that are most suited to testing a particular kind of class. We use the results of this analysis later in the paper to develop an algorithm to unify current implementation-based techniques using our taxonomy as the basis. The most important contribution of our paper is the unification algorithm that accepts a list containing the summary of the testing techniques available to the tester, and identifies the implementation-based techniques that are most suited to testing a given class.

#### 3.1. Overview of Testing Techniques

In this section we overview five testing techniques, four implementation-based[3, 6, 10, 17] and one hybrid-

Class: Point	
Nomenclature: <i>Inheritance-free Types I, III</i>	
Feature Properties	
Attributes: <i>Private Type I</i>	
Routine Locals: <i>Type I;</i> <i>Type III</i>	
Feature classification: <i>None</i>	

**Figure 2. Application of taxonomy cataloging class Point.**

based technique[5]. We include the hybrid-based technique since it is structured to allow us to easily extract the implementation-based component. The four implementation-based techniques are divided into two groups: techniques that generate test tuples [6, 17] and those that generate message sequences [3, 10].

Harrold and Rothermel present a data flow technique for classes based on the procedural approach[6]. This testing technique performs data-flow analysis on a class using a class control flow graph (CCFG) to produce test tuples (def-use) or pairs of the form  $(d, u)$ , where  $d$  represents the line number of the variable definition and  $u$  the line number of its use. To handle the complexity of testing a class as compared to a simple procedure, reference [6] proposes four levels of testing *intra-method*, *inter-method*, *intra-class* and *inter-class* testing.

Souter and Pollock propose a testing strategy known as OMEN (Object Manipulations and Escape Information) that uses data-flow analysis based on object manipulations to generate test tuples[17]. These test tuples are of the form *object-name(store, load, object creation site)* and are based on the analyzed code. This technique builds an Annotated Points-to-Escape (APE) graph that identifies the references to a particular object in the analyzed section of code, the objects that can be read at a given point, and the possible reaching writes to an object prior to that point in the code.

Buy et al. propose an automated testing technique for classes that uses data-flow analysis[6], symbolic execution and automatic deduction to generate message sequences, seeking to reveal failures dependent on the current state of the object[3]. Symbolic execution identifies conditions related to path executions and variable definitions for each

method in the class. The automatic deduction component generates a method sequence in reverse order by applying a set of backward-chained deductions.

Kung et al. use symbolic execution to generate an *object state test model* that is used to construct a *test tree*[10]. Test sequences are then generated from the test tree. The object state test model is represented as a *hierarchical, concurrent object state diagram* (OSD), which identifies the possible states an object can enter during execution[10]. Symbolic execution is used to identify the states and transitions for an OSD. After the construction of the OSD, the test tree is generated so that the nodes represent the states and the edges the transition between states in the OSD, with the initial state as the root.

The hybrid-based technique proposed by Harrold et al. is an incremental approach to testing OO software dependent on the class structure[5]. This technique focuses on the inheritance hierarchy of classes. Initially a test suite is created for the root class in the hierarchy using specification-based and/or implementation-based techniques, known as the *test history*. The features of each derived class from the root class are then analyzed and classified as one of the six types; we discussed these types in the last paragraph of section 2.1. Depending on the type of feature in the derived class, new test cases are generated, the test cases from the test history for that inherited feature are totally or partially rerun, or the feature is considered to be properly tested in the parent and none of the tests are rerun.

### 3.2. Mapping of Classes to Testing Techniques

In order to map the category of class most suited to each of the five testing techniques overviewed in section 3.1, we first briefly analyze each technique, then identify the category of class using our taxonomy that would provide the best test coverage.

The testing techniques presented in [3, 6, 17] use data-flow-based adequacy criteria[18] to either identify the test tuples[6, 17] or the required message sequences that exercise the test tuples[3]. These techniques use the ‘all-uses’ criterion that require each feasible def-use pair in the class to be tested. Rapps and Weyuker propose a partial order ranking that suggests the ‘all-uses’ criterion is second only to the infeasible criteria of ‘all-du-paths’ and ‘all-paths’[16]. The technique in [10] is based on the state of the object and detects errors due to interactions between routines through object state behavior. This technique[9, 10] builds a test tree that covers a subset of the paths in the OSD (object state diagram). In some cases several trees are used to represent an OSD. The hybrid-based technique in [5] does not provide any adequacy based criteria since it only suggests which test cases from the test history should be re-run.

In the following list we present a brief analysis for each testing technique and identify the most suitable category of class for that technique.

- Data-flow Testing[6]:  
Identifies test tuples for scalar basic types (*Type I*).  
Treats individual elements of aggregate objects, such as arrays, as a single object.  
Misses some test tuples resulting from specific aliases (*Type II, IV*).  
Does not consider classes that inherit attributes from their parents.  
Does not generate inter-class test tuples e.g., does not address public attributes.  
MOST SUITABLE CLASS: *Type I (inheritance-free or any type of parent)*.
- OMEN[17]:  
Handles the problem of aliasing for access types to user defined types (*Type IV*).  
Treats individual elements of aggregate objects, such as arrays, as a single object.  
Does not consider scalar basic types (*Type I*), non-scalar basic types (*Type II*), or user-defined types (*Type III*).  
Does not consider classes that inherit attributes from their parents.  
MOST SUITABLE CLASS: *Type IV (inheritance-free or any type of parent)*.
- Automated Testing of Classes[3]:  
Focuses mainly on inter-method def-use analysis.  
Only considers scalar basic types (*Type I*).  
Does not handle the problem of aliasing and parameter passing.  
Does not consider public attributes.  
Uses symbolic execution that can only be applied to routines with simple control flow.  
MOST SUITABLE CLASS: *Type I (inheritance-free or any type of parent)*. There maybe be further restrictions due to complex control structures.
- Object State Testing[10]:  
Reasons similar to those mention for the ‘Automated Testing of Classes’ [3].  
MOST SUITABLE CLASS: *Type I (inheritance-free or any type of parent)*. This technique might even be more restrictive than the technique referenced in[3], because of the computational complexity to generate the OSD for a class.
- Incremental Testing of Object Oriented Classes[5]:  
Depends on other testing techniques to generate test cases.  
MOST SUITABLE CLASS: *Derived*. Any descendant class in an inheritance hierarchy.

In [4] we use our taxonomy to catalog the classes in the major example as presented in the reference for each testing technique.

## 4. Unification of Implementation-based Techniques

In this section we present our algorithm that automates the process of identifying the implementation-based testing technique(s) suitable for testing a given class. We refer to this algorithm as the *unification algorithm*. The algorithm accepts a summary of the contents of the class under test (CUT) and a list representing the available implementation-based testing techniques, then identifies the testing technique(s) that will adequately test the CUT. There are several issues to be considered in developing the unification algorithm since implementation-based techniques are still being developed to test different features of a class. In section 4.1 we discuss the relationship between our taxonomy[4] and the process of selecting a suitable testing technique. The unification algorithm is presented in section 4.2.

### 4.1. Unification based on Taxonomy of Classes

Active research continues in the area of implementation-based testing of classes. Therefore, the hallmark of any algorithm that maps testing techniques to classes must be extensibility and reusability. In addition, a tester must have the ability to choose an appropriate testing technique from the available set of techniques at the time of testing. Thus, we provide an algorithm that is extensible, reusable and flexible. The unification algorithm must also provide feedback to the tester in the event that there is no testing technique available to test certain features of the CUT.

In order to provide extensibility, reusability and flexibility, we permit the tester to create a list of available implementation-based testing techniques, used as input to the unification algorithm. Each entry in this list contains a summary of the class(es) that can be adequately tested by that technique. In section 3.2 we informally identified the most suitable class(es) for each of the five implementation-based testing techniques overviewed in section 3.1. We represent each class in the summary using the basic ideas of our taxonomy overviewed in section 2.3. That is, each class in the summary is cataloged using nomenclature and feature properties[4]. Unlike the nomenclature used in reference [4] to identify classes, we restrict the nomenclature in each class cataloged in the summary for a given testing technique to one class associated type. For example, if the nomenclature of the most suitable classes for testing technique T was *inheritance-free Types I, II*, then the nomenclature for entry T in the list of test techniques would be *inheritance-free*

*Type I and inheritance-free Type II*. In the event that a testing technique can be applied to all class associated types i.e., *Types I, II, III, IV* and *V*, we leave the class associated type component of the nomenclature empty. These restrictions allow the testing techniques to be more accurately described in the unification algorithm.

As new testing techniques are developed the tester can update the summaries in the list of testing techniques appropriately. The tester also has the flexibility to restrict the list of testing techniques to those techniques that are truly automated or to the techniques that are practically available. In section 5 we present an example that shows a list of testing techniques used as input to the unification algorithm.

The unification algorithm also accepts, as input, a summary of the CUT cataloged using our taxonomy; an example of such a summary was presented in section 2.3. At present we are developing a tool to catalog C++ classes, using a C++ parser [13, 14, 15]. Using the cataloged CUT and the list of the testing techniques, the unification algorithm identifies the technique(s) most suitable for testing the CUT.

### 4.2. Unification Algorithm

In this section we describe the unification algorithm shown in figure 3. This algorithm identifies the implementation-based techniques most suitable for testing a given class. The algorithm also provides feedback to the tester in the event there is no testing technique to adequately test specific features of a class. For the purpose of simplicity we restricted the selection of the testing techniques to the information contained in the nomenclature of the CUT and the nomenclature of the class information stored in the list of testing techniques.

The input to the algorithm in figure 3, described in section 4.1, consists of the CUT cataloged using our taxonomy (*cutNomen*), and a list of testing techniques supplied by the user (*testList*). The main data structure is a record consisting of the fields *modifier* and *type*. We refer to this record structure as *Nomenclature*. The field *type* is a list of the class associated types, and *modifier* a string for the OO modifier. The parameter *cutNomen* is of type *Nomenclature*. *testList* is a list of records each of type *TestTech*. *TestTech* is a record structure consisting of the fields *name*, a string containing the name of the testing technique, and *testClass* containing a list of entries of type *Nomenclature*. Note that each entry of the list *testClass* contains only one value in the field *type* to facilitate the restriction of one class associated type per class summary for each testing technique. The reason for this restriction is given in section 4.1.

The algorithm *Unify* in figure 3 starts by initializing the set variable *currentTech* in line 2 to the empty set. The

```

( 1) Unify(cutNomen, testList)
( 2) currentTech ← ∅
( 3) for each cutType ∈ cutNomen.type do
( 4)   tempTech ← ∅
( 5)   for each testEntry ∈ testList do
( 6)     for each suitableClass ∈ testEntry.testClass do
( 7)       if ((suitableClass.type = cutType or
( 8)         suitableClass.type is empty) and
( 9)         suitableClass.modifier = cutNomen.modifier)
(10)      then
(11)        tempTech ← tempTech ∪ testEntry
(12)      endif
(13)    endfor
(14)  endfor
(15)  if tempTech = ∅ then
(16)    print “ No Technique for ”
(17)      cutNomen.modifier + cutType
(18)  else
(19)    currentTech ← currentTech ∪ tempTech
(20)  endif
(21) endfor
(22) return currentTech
(23) endUnify

```

**Figure 3. Unification algorithm.** This figure is a summary of our algorithm that takes as input a CUT, cataloged using our taxonomy, and a list of testing techniques supplied by the tester. The algorithm then searches the list of testing techniques and provides all the techniques suitable for testing the CUT.

variable `currentTech` will eventually contain the testing techniques that will be returned by the routine `Unify`. The loop from lines 3 to 21 uses the variable `cutType` to iterate through each class associated type in the nomenclature of the CUT. This loop is required since the nomenclature of a class can have at most five class associated types. The temporary set variable `tempTech`, initialized in line 4, is used to store all the testing techniques that can be used to test the features of the CUT represented by a combination of the variables `cutNomen.modifier` and `cutType`. Variable `cutType`, initialized in line 3, contains one of the class associated types of the CUT and `cutNomen.modifier` the OO modifier of the CUT.

The loop from lines 5 to 14 uses the variable `testEntry` to iterate through the list of testing techniques `testList`. Since each `testEntry` contains a list of class summaries, the loop from lines 6 to 13 is required to iterate through this list. The variable `suitableClass` initialized in line 6, is used as a tem-

porary reference to each of the class summaries in the list stored in `testEntry.testClass`. The record field `suitableClass.type` in line 7 contains the class associated type and `suitableClass.modifier` in line 9 the OO modifier. Lines 7 to 9 of the if statement checks for a match between the CUT and the class summary of the testing technique referenced by `suitableClass`. If there is a match, then a copy of that entry for the testing technique referenced by `testEntry` is added to temporary set `tempTech` in line 11. Before the next class associated type of the CUT is considered, on line 3, a check is made to see if the set `tempTech` is empty. If `tempTech` is empty this implies there is no testing technique to test the features of the CUT described by `CutNomen.modifier` and `cutType` and an appropriate message is printed, lines 16 and 17. Otherwise, the union of the sets `currentTech` and `testEntry` are placed in `currentTech` as shown on line 19. When there are no more class associated types of the CUT to consider the set `currentTech` containing the applicable testing techniques are returned on line 22.

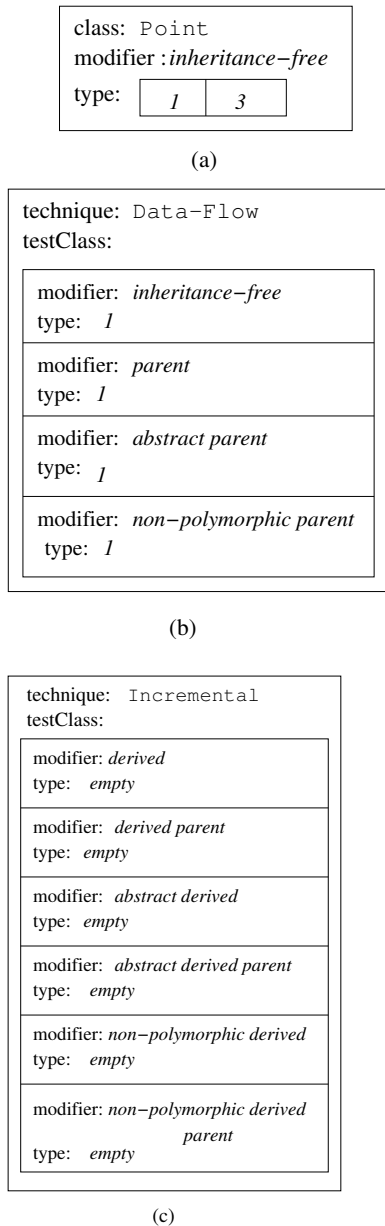
## 5. Application of Unification Algorithm

In this section we present an application of the unification algorithm described in section 4.2. The application uses the C++ example identified in section 2.3.3 as the CUT and two of the implementation-based techniques summarized in section 3.2 as the test list. Section 5.1 describes the structure of the input data to the algorithm `Unify` and section 5.2 traces the application of the algorithm on the input.

### 5.1. Representation of the CUT and Test List

The current version of the algorithm `unify` takes as input the nomenclature component of the CUT and a list representing the summaries of the testing techniques available to the tester. For simplicity, we use two of the implementation-based techniques described in section 3.2, these are *Data-flow Testing*[6], and *Incremental Testing of Object Oriented Classes*[5].

Figure 4 (a) shows the record structure of the CUT, class `Point`, which consists of the fields `name`, `modifier`, and `type`. The fields `modifier` and `type` represent the nomenclature component of the taxonomy as shown in figure 2. The field `type` is a list consisting of two entries. In figure 4 we represent a list as a box structure consisting of several components. Figure 4 parts (b) and (c) show the record structures of the summaries for the two implementation-based testing techniques provided by the tester. Each of these record structures consists of the fields `technique`, the name of the technique, and `testClass`, the list of the classes that the technique can adequately test as determined by the tester. Note that in Figure 4 part (c) each field `type` of the



**Figure 4. Representation of data structures. (a) CUT class Point. (b) Summary for Data-flow testing technique. (c) Summary for Incremental testing technique. A name preceding a colon is a field of the record structure e.g., modifier. If a field is followed by a box structure this represents a list e.g., type in (a) and testClass in (b) and (c).**

list has a value of *empty*, indicating that the testing technique can be applied to all of the class associated types (see section 4.1 for more detail).

## 5.2. Applying the Algorithm

Unify accepts the record structure *cutNomen* figure 4 (a) and *testList*, a list consisting of record structures shown in figure 4 parts (b) and (c). In line 3 of *Unify*, figure 3, the loop variable *cutType* sequences through the contents of *type* field of figure 4 (a) starting with a value of *1*. Variable *testEntry* in line 5 of *Unify* sequences through the list *testList*, starting with the technique *Data-flow*, figure 4 part (b). The loop variable *suitableClass*, then sequences through the list in the field *testClass* of *Data-flow* starting with the record whose *modifier* value is *inheritance-free* and *type* value is *1*. The boolean expression in lines 7 to 9 of *Unify* evaluates to true since the content of the variables *suitableClass.type* and *cutType* are equivalent, as well as the variables *suitableClass.modifier* and *cutNomen.modifier*. Line 11 is then executed and a copy of the record containing the *Data-flow* technique summary is placed in *tempTech*. The variable *suitableClass* continues to iterate through the list *testClass* in the *Data-flow* record but the boolean expression on lines 7 through 9 evaluates to false in each case.

The algorithm continues by assigning the loop variable *testEntry*, on line 5 of *Unify*, the next record in the list of testing summaries, in this case the summary for the *Incremental* testing technique as shown in figure 4 part (c). As the list in the *testClass* field of the *Incremental* record is traversed, the boolean expression in lines 7 through 9 never evaluates to true. Line 15 is then executed and the boolean expression evaluates to false since the set variable *tempTech* is not empty. This outcome results in the contents of *tempTech* i.e., the record for the technique *Data-flow*, being copied into the variable *currentTech*. Execution of the algorithm continues on line 3 and the loop variable *cutType* is assigned the next value in the *type* field of the CUT, which happens to be *3*. The variable *tempTech* is assigned to the empty set and the loops on lines 5 through 14 are executed. Execution of these loops traverse each record in the *testClass* list of each testing technique. Since the boolean expression in lines 7 through 9 never evaluates to true, the variable *tempTech* exits the loops on line 14 containing the empty set. The boolean expression in line 15 of the algorithm evaluates to true and a message is printed informing the tester that there are no techniques available to test the features *inheritance-free type 3* of the CUT. The algorithm then returns the contents of the set variable *currentTech*, the record containing the summary for the testing technique *Data-flow*, and terminates.

## 6. Concluding Remarks

In this paper we presented a unified approach to implementation-based testing of classes. This approach includes the classification of the class under test (CUT), an approach to map categories of classes to implementation-based testing techniques, and an algorithm that identifies the testing techniques most suited to testing a given class.

The CUT is first cataloged using our taxonomy as outlined in reference [4]. This process analyses the CUT assigning a nomenclature and summarizing the properties of its features (feature properties). The nomenclature consists of an OO modifier that identifies the object oriented characteristics of the CUT, and a class associated type that specifies the types of its attributes, parameters and local variables. The feature properties provide additional information about the attributes, parameters and local variables. This information includes access specifiers for the attributes and a classification of features if the CUT is a derived class[5].

We map categories of classes to each of the implementation-based techniques by reviewing the literature and identifying the properties of a class that are not tested by that technique. Using these properties, we used the naming system provided by our taxonomy to map the categories of classes to the respective implementation-based testing technique.

The main focus of this paper is the algorithm Unify that allows the selection of one or more implementation-based testing techniques most suited to test the features of the CUT. The tester provides the algorithm Unify with a list containing a summary of the available testing techniques and the CUT cataloged using our taxonomy. The algorithm returns a set of testing techniques that can be used to adequately test the CUT. If no technique exists to test a given feature the algorithm provides feedback to the tester.

At present the algorithm Unify makes a decision on which testing techniques are applicable solely on the nomenclature of the cataloged CUT ignoring the additional information provided by the feature properties. To improve the accuracy of identifying the most suitable testing technique the summaries of classes for each testing technique can be expanded to include feature properties. For example, knowing whether an attribute that is *Type 1* is private to the CUT or not, is important in determining the applicability of a testing technique.

We are developing an implementation of our algorithm, as well as a tool to catalog classes based on our taxonomy[4] using a C++ parser [13, 14, 15]. We are also interested in using the cataloging tool to identify the percentages of different types of classes found in real world applications. This result would give us some idea of how practical the current implementation-based techniques are in testing real world application.

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