

# Acyclic Colorings of Planar Graphs

Wayne Goddard,

Department of Mathematics, Massachusetts Institute of Technology, Cambridge,  
MA 02139, USA

## Abstract

It is shown that a planar graph can be partitioned into three linear forests. The sharpness of the result is also considered.

In 1969, Chartrand and Kronk [2] showed that the vertex arboricity of a planar graph is at most 3. In other words, the vertex set of a planar graph can be partitioned into three sets each inducing a forest. In this paper we present an improvement on this result: that the vertex set of a planar graph can be partitioned into three sets such that each set induces a *linear forest*. A linear forest is one in which every component is a path. We will, for brevity, call such a partition a 3LF-coloring.

This result establishes a conjecture of Broere and Mynhardt [1]. It also improves upon a result of Cowen, Cowen and Woodall [3] that one is guaranteed a partition into three sets each inducing a graph of maximum degree at most two. Our result is proved by a simple extension of the techniques in [3].

**Theorem 1** *The vertex set of any planar graph can be partitioned into three sets such that each set induces a linear forest.*

PROOF. We prove by induction on the number of vertices that:

every planar graph can be partitioned as above, with the added requirement that any two specified adjacent vertices are properly colored.

This is true for graphs without edges. So let  $G$  be a planar graph with specified adjacent vertices  $u$  and  $v$ . If one of  $u$  and  $v$  has degree two or less, then a simple inductive argument will establish the result. So we may assume that  $u$  and  $v$  have degree at least three.

Consider an embedding of  $G$  in the plane. Insert a new vertex  $x$  subdividing the edge  $uv$ . Further, while preserving planarity, add edges to ensure that there

exists a cycle that separates  $u$  from  $v$ . Let  $W$  be such a cycle of minimum length in the resultant planar graph  $G'$ . Then  $x \in W$  and  $W$  is chord-free, so that  $W - x$  induces a path in  $G'$ .

Let  $G_1$  ( $G_2$ ) consist of  $W$  and all vertices and edges of  $G'$  inside (outside)  $W$ ; say  $u \in G_1$  and  $v \in G_2$ . Let  $G'_1$  ( $G'_2$ ) be obtained from  $G_1$  ( $G_2$ ) by contracting  $W$  into a single new vertex  $w$ . These are both planar graphs with fewer vertices than  $G$ , and  $w$  is adjacent to  $u$  ( $v$ ) in  $G'_1$  ( $G'_2$ ).

By the inductive hypothesis, there is a 3LF-coloring of  $G'_1$  ( $G'_2$ ) such that  $u$  and  $w$  ( $v$  and  $w$ ) are properly colored. We may assume that  $w$  receives the same color in both these colorings, and that  $u$  and  $v$  receive different colors. We now transfer these colors back to  $G$ , giving all the vertices of  $W - x$  the color received by  $w$ . This yields a 3LF-coloring in which  $u$  and  $v$  are properly colored, as desired. QED

We now consider the sharpness of this result.

**Theorem 2** *Given a planar graph, one is guaranteed neither*

- a) a partition into two linear forests and a matching; nor*
- b) a partition into three linear forests such that every pair of colors induce an outerplanar graph.*

PROOF. **a)** We will construct for  $k$  a positive integer, a planar graph  $G_k$  such that, for any 3LF-coloring, the subgraph induced by each color class must contain a path on at least  $k$  vertices.

Start with a  $K_3$  with vertex set  $\{v_1, v_2, v_3\}$ . For each pair of vertices  $v_i$  and  $v_j$ , introduce a long path  $P_{ij}$  such that every vertex of  $P_{ij}$  is adjacent to  $v_i$  and  $v_j$ . Let the number of vertices on  $P_{ij}$  be the maximum of  $5k$  and  $22$ . Then inside each triangle consisting of a vertex of the  $K_3$ , say  $v_i$ , and two consecutive vertices from  $P_{ij}$ , say  $w_1$  and  $w_2$ , introduce a path  $Q(v_i, w_1, w_2)$  on  $k$  vertices such that every vertex of  $Q(v_i, w_1, w_2)$  is adjacent to  $v_i$  and to  $w_1$ . This yields the planar graph  $G_k$ .

Now, consider any 3LF-coloring of  $G_k$ . As  $v_1, v_2$  and  $v_3$  induce a cycle, some two vertices  $v_i$  and  $v_j$  receive different colors, say  $A$  and  $B$  respectively. As  $v_i$  and  $v_j$  both have at most two neighbors of their own color,  $P_{ij}$  must be predominantly the third color  $C$ . Indeed, it must have at least  $k$  consecutive vertices from  $C$ .

As  $P_{ij}$  also has at least 22 vertices, it has at least three pairs of consecutive

(non-terminal) vertices such that they, and both their neighbors on  $P_{ij}$ , have color  $C$ . As  $v_i$  has at most two neighbors of color  $A$ , there exists such a pair of consecutive vertices  $w_1$  and  $w_2$  where  $v_i$  has no neighbor of color  $A$  inside the triangle formed by  $v_i$ ,  $w_1$  and  $w_2$ . But  $w_1$  and  $w_2$  both have two neighbors of color  $C$  on  $P_{ij}$ . Thus all the vertices of the path  $Q(v_i, w_1, w_2)$  inside this triangle receive color  $B$ . Similarly, by considering  $v_j$  we may find vertices  $w'_1$  and  $w'_2$  on  $P_{ij}$  such that all the vertices of the path  $Q(v_j, w'_1, w'_2)$  receive color  $A$ .

**b)** For any graph  $G$  we define  $G^*$  as that graph formed by adding, between each pair of adjacent vertices, five internally disjoint paths each of length two. Note that if  $G$  is planar then so is  $G^*$ .

Now, let  $G = K_4$  and consider a 3LF-coloring of  $G^*$ . Then there exist two adjacent vertices of  $G$ ,  $x$  and  $y$  say, which receive the same color  $A$  say. In  $G^*$ ,  $x$  and  $y$  have (at least) five mutual neighbors; none of these neighbors can have color  $A$ , so at least three receive color  $B$  say. But then we have a  $K(2, 3)$  in the graph induced by  $A \cup B$ , and thus  $A$  and  $B$  do not induce an outerplanar graph. QED

Part (b) shows that Conjecture A of [3] is false. Indeed, as a consequence of part (a) and the construction of part (b) (i.e. by considering  $G_k^*$ ), it follows that there are graphs which, for any 3LF-coloring, can have at most one pair of colors inducing an outerplanar graph. The following is still open, though.

**Conjecture.** [3] *The vertex set of any planar graph can be partitioned into two sets such that one set induces an outerplanar graph and the other a linear forest.*

The above conjecture, if true, would strengthen Theorem 1, as an outerplanar graph can be partitioned into two linear forests (cf. [1]). Further, an outerplanar graph can be partitioned into a forest and an independent set (via a simple inductive argument, say). Thus the conjecture would imply that any planar graph can be partitioned into a forest, a linear forest, and an independent set. This would improve on the result of Stein [4] that a planar graph can be partitioned into two forests and an independent set. Wegner [5] showed that one cannot guarantee a forest and two independent sets.

As a final comment, we observe that the constructions used in the proof of Theorem 2 yield graphs with many cut-triangles. It is therefore open whether one can obtain stronger results for 4-connected planar graphs.

## References

- [1] I. Broere & C. Mynhardt, Generalized colorings of outerplanar and planar graphs, in: Y. Alavi et al. eds, Graph Theory with Applications to Algorithms and Computer Science, (Wiley, New York, 1985) 151–161.
- [2] G. Chartrand & H.V. Kronk, The point-arboricity of planar graphs, J. London Math. Soc. 44 (1969) 612–616.
- [3] L.J. Cowen, R.H. Cowen & D.R. Woodall, Defective colorings of graphs in surfaces: partition into subgraphs of bounded valence, J. Graph Th. 10 (1986) 187–195.
- [4] S.K. Stein, B-sets and coloring problems, Bull. Amer. Math. Soc. 76 (1970) 805–806.
- [5] G. Wegner, Note on a paper of B. Grünbaum on acyclic colorings, Israel J. Math. 14 (1973) 409–412.